

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L67120RJ1995PLC009631

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AADC54503J

(ii) (a) Name of the company

S R G SECURITIES FINANCE LTC

(b) Registered office address

322, SM LODHA COMPLEX,UDAIPUR.
UDAIPUR.
UDAIPUR.
Rajasthan
313001

(c) *e-mail ID of the company

srgsecurities@gmail.com

(d) *Telephone number with STD code

02942561882

(e) Website

WWW.SRGFIN.COM

(iii) Date of Incorporation

06/03/1995

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	Bombay Stock Exchange- SME	1

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

(f) Specify the reasons for not holding the same

AGM will be held on 30th September, 2021

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	98.46

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	12,000,000	5,375,400	5,375,400	5,375,400
Total amount of equity shares (in Rupees)	120,000,000	53,754,000	53,754,000	53,754,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	12,000,000	5,375,400	5,375,400	5,375,400
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	120,000,000	53,754,000	53,754,000	53,754,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	0	5,375,400	5375400	53,754,000	53,754,000	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	5,375,400	5375400	53,754,000	53,754,000	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0

iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil
 [Details being provided in a CD/Digital Media] Yes No Not Applicable

Separate sheet attached for details of transfers Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text"/>
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Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor <input type="text"/>			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input type="text"/>			
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor <input type="text"/>			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input type="text"/>			
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

31,229,000

(ii) Net worth of the Company

130,382,000

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	3,108,650	57.83	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	258,000	4.8	0	
10.	Others	0	0	0	
	Total	3,366,650	62.63	0	0

Total number of shareholders (promoters)

15

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,654,750	30.78	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	

4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	354,000	6.59	0	
10.	Others	0	0	0	
	Total	2,008,750	37.37	0	0

Total number of shareholders (other than promoters)

117

Total number of shareholders (Promoters+Public/
Other than promoters)

132

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	15	15
Members (other than promoters)	118	117
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	2	0	2	0	25.55	0
B. Non-Promoter	0	3	0	3	0	0.4
(i) Non-Independent	0	1	0	1	0	0.4
(ii) Independent	0	2	0	2	0	0
C. Nominee Directors representing	0	0	0	0	0	0

(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	3	2	3	25.55	0.4

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

7

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Vinod Kumar Jain	00248843	Managing Director	838,700	
Seema Jain	00248706	Whole-time director	534,900	
Arjun Lal Jain	00147912	Director	0	13/07/2021
Nishant Badala	06611795	Director	0	
Richa Bhandari	08197563	Director	2,000	
Divya Kothari	GUDPK5580E	Company Secretary	0	
Vaishali Jain	AVGPJ4051M	CFO	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

1

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Vaishali Jain	AVGPJ4051M	CFO	02/09/2020	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	29/09/2020	131	10	30.74

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	24/07/2020	5	5	100
2	29/08/2020	5	4	80
3	02/09/2020	5	4	80
4	12/11/2020	5	4	80
5	28/01/2021	5	4	80
6	25/03/2021	5	4	80

C. COMMITTEE MEETINGS

Number of meetings held

8

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	24/07/2020	3	3	100
2	Audit Committee	12/11/2020	3	3	100
3	Audit Committee	28/01/2021	3	2	66.67
4	Audit Committee	25/03/2021	3	3	100
5	Nomination and Remuneration	29/08/2020	3	3	100
6	Stakeholder Relations	28/01/2021	3	3	100
7	Risk Management	28/01/2021	3	3	100
8	Independent Directors	28/01/2021	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	Vinod Kumar	6	6	100	5	5	100	
2	Seema Jain	6	6	100	1	1	100	
3	Arjun Lal Jain	6	1	16.67	8	7	87.5	
4	Nishant Badal	6	6	100	8	8	100	
5	Richa Bhandal	6	6	100	1	1	100	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Seema Jain	Whole time Dire	3,600,000	0	0	0	3,600,000
	Total		3,600,000	0	0	0	3,600,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Divya Kothari	Company Secre	292,780	0	0	0	292,780
2	Vaishali Jain	CFO	273,070	0	0	0	273,070
	Total		565,850	0	0	0	565,850

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Shiv Hari Jalan

Whether associate or fellow

Associate Fellow

Certificate of practice number

4226

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
 (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated (DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

Digitally signed by
VINOD KUMAR JAIN
Date: 2021.09.01 10:58:45Z

DIN of the director

To be digitally signed by

Digitally signed by
DIVYA KOTHARI
Date: 2021.09.01 10:58:45Z

- Company Secretary
 Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

List of attachments

Attach	Shareholders List.pdf MGT 8 SRGSFL.pdf
Attach	
Attach	
Attach	

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

First Name	Middle Name	Last Name	Folio Number	D-Client Id Account Num	Number of shares held	Class of shares
AARTI JAIN				1201700000372541	187500	Equity Shares
ABHINAV UPADHYAY				1202890001157131	18000	Equity Shares
ABHISHEK UPADHYAY				1202890001157159	18000	Equity Shares
ALPANA PANDYA				1301760000856942	6000	Equity Shares
AMBITIOUS ASSOCIATES PVT LTD				1203440000824668	60000	Equity Shares
ANIL KOTHARI				1201060002126363	6000	Equity Shares
ANKIT KOTHARI				1206690000102462	6000	Equity Shares
ANKIT R GUPTA				IN30220110917499	2000	Equity Shares
ANKITA HEMANG PATEL				1201830000042507	18000	Equity Shares
ARCHANA JAIN				1201770100826347	24000	Equity Shares
ARCHIS JAIN				1206110000020561	72000	Equity Shares
ARCHIS JAIN				1202890001534196	63000	Equity Shares
ARCHIS JAIN				1202890001619548	60000	Equity Shares
ARUN MURDIA				1202890001152021	6000	Equity Shares
ARYAMAN CAPITAL MARKETS LIMITE				1301190300055463	192000	Equity Shares
ASHA UPADHYAY				1202890001157178	18000	Equity Shares
ASHISH GEHLOT				1301440002390562	2000	Equity Shares
ASHISH KUMAR RATHOD				IN30198311120338	2000	Equity Shares
ASHOK MODI				1201210100413461	10000	Equity Shares
AWANISH TIWARI				1201700000360407	17250	Equity Shares
BAL MUKAND MODI				IN30226910643424	6000	Equity Shares
BHADRESH SHAH				1201830000042433	18000	Equity Shares
BHAGWATILAL PRUTHVIRAJ JAIN				IN30154938101340	12000	Equity Shares
BHANWAR LAL JAIN				IN30160411668163	30000	Equity Shares
BHANWAR LAL JAIN				1201700000305252	37500	Equity Shares
BHAVESH SHAH				1201830000042490	18000	Equity Shares
BHUPESH KUMAR JAIN				1201700000314271	2000	Equity Shares
CHAMPABEN DINESH PATEL				1201830000042467	18000	Equity Shares
CHANDRA KANTA WAHY				IN30160410675728	6000	Equity Shares
CHATER SINGH JAIN				1203460000380799	8000	Equity Shares
CHOSAR DEVI JAIN				1201770100826269	24000	Equity Shares
DAL CHAND NAGDA				1201700000359505	8000	Equity Shares
DALCHAND MAGANLAL DAGLIA HUF				1301760000641600	6000	Equity Shares
DEEPAK KUMAR JAIN				1201700000200969	12000	Equity Shares
DHARMESH CHANDRA JAIN				IN30021413807883	48000	Equity Shares
DILIP KUMAR SINGHVI				IN30302853459110	2000	Equity Shares
DINESH JAIN				1202890001488828	60000	Equity Shares
DINESH RATANSHI PATEL				1201830000042486	18000	Equity Shares
GAJENDRA AWOT				IN30429512837973	6000	Equity Shares
GARIMA SONI				1201700000222385	4000	Equity Shares
GAUTAM CHAMPAKLAL CHOLERA				1201120000321233	3000	Equity Shares
GENDA LAL JAIN				1201700000372560	170200	Equity Shares
GENDA LAL JAIN HUF				1201830000040041	126250	Equity Shares
HARIDAS VASUDEVA RAO				1201090005439571	6000	Equity Shares
HARSHITA UPADHYAY				1202890001157144	18000	Equity Shares
HEMANG DINESHBHAI PATEL				1201830000042452	18000	Equity Shares
Hemendra Mehta				IN30281410623923	12000	Equity Shares
HITESH SONI				IN30302869896725	2000	Equity Shares
HRIDAY CREDIT COOPERATIVE SOCIET				1202890001157919	102000	Equity Shares
HRIDAY JAIN				1202890001610963	60000	Equity Shares
JAY BHADRESHKUMAR PANCHAL				1204220000177735	3000	Equity Shares
JIKISHA JAIN				1202890001218411	77650	Equity Shares
JIKISHA JAIN				1201700000211035	48000	Equity Shares
JYOTI VASHISHTH				1203440000823932	18000	Equity Shares
KALA TIWARI				1201700000360411	6000	Equity Shares
KAMLA BAI DAGLIYA				1204470007874733	12000	Equity Shares
KANTA DEVI JAIN				1201770100826273	24000	Equity Shares
KARTIK SINGH MEHTA				1208160002544335	2000	Equity Shares
KRATI JAIN				1203320011978332	2000	Equity Shares
KSHITIJ KISHOR MEHTA				1201090005595015	6000	Equity Shares
LALIT NAGDA				1201700000200838	6000	Equity Shares
LATA BHANDARI				IN30021413540981	40000	Equity Shares
LAVANG ARUN MURDIA				1202890001151989	10000	Equity Shares
LOKENDRA SINGH CHOUHAN				1201700000314586	2000	Equity Shares
MADHU MURDIA				1202890001154221	6000	Equity Shares
MAHAVEER JAIN				1202300200005378	6000	Equity Shares
MAINA NAVLAKHA				IN30021430853899	72000	Equity Shares
MANAV BHANDARI				IN30021417284583	20000	Equity Shares
MANJU MURDIA				1202890001151902	6000	Equity Shares
MANOJ BISARTI				1201700000201145	44000	Equity Shares
MONA BHADRESH SHAH				1201830000042511	18000	Equity Shares
MUKESH BISARTI				1201700000201151	20000	Equity Shares

MUNNI DEVI GUPTA			1201910101850414	6000	Equity Shares
NARENDRA AMBALAL NAVELAKHA H			IN30021426246616	40000	Equity Shares
NARENDRA NAVLAKHA			IN30021430860890	72000	Equity Shares
NARESH KUMAR JAIN			IN30021426151232	20000	Equity Shares
NAURIN KHAN			1202890001218006	10500	Equity Shares
NAVEEN GUPTA			1204790000081350	3000	Equity Shares
NEELIMA BODANA			1201830000045356	30000	Equity Shares
NEETA JAIN			1202890001156233	30000	Equity Shares
OVERSKUD MULTI ASSET MANAGEM			1201040000020492	60000	Equity Shares
PANKAJ JAIN			1202890001151896	6000	Equity Shares
PINKY KANODIA			1201910101846769	6000	Equity Shares
PRAKASH CHANDRA JAIN			1202890001217984	17250	Equity Shares
PRANALI SHAH			1201830000042526	18000	Equity Shares
PRATAP NARAYAN GUPTA			1201910101850448	6000	Equity Shares
PRAVEEN KANODIA			1201910101850429	6000	Equity Shares
PREM KUMAR			1301240004619740	2000	Equity Shares
PURNIMA RAJMAL JAIN			1203300000036293	6000	Equity Shares
PUSHPA JAIN			1201830000039990	143500	Equity Shares
PUSHPENDRA JAIN			1304140007305823	16000	Equity Shares
RACHNA UPADHYAYA			1202890001157163	18000	Equity Shares
RAJESH JAIN			1201700000372556	100000	Equity Shares
RAJESH JAIN			IN30136410212099	364200	Equity Shares
RAJESH KUMAR SAKALCHAND JAIN			1201260000236613	18000	Equity Shares
RAJESH SHAH			1201830000042448	18000	Equity Shares
RAKESH NAGDA			IN30290245476516	6000	Equity Shares
RAMESH DAGLIA			IN30267933082803	12000	Equity Shares
RAMESH DAGLIA			IN30226911276986	12000	Equity Shares
RAMESH KUYERJI SHAH			IN30154930446174	6000	Equity Shares
RAVI PARASMAL JAIN			IN30154936318200	6000	Equity Shares
REKHA MODI			1201210100527072	6000	Equity Shares
REKHA NAWLAKHA			IN30021413766567	42000	Equity Shares
RHYTHM CONSULTANTS PRIVATE LIM			1201770100827414	48000	Equity Shares
RICHA BHANDARI			1201700000200540	2000	Equity Shares
S RAJESH KUMAR JAIN			IN30226914658236	6000	Equity Shares
SANJAY KUMAR BHANDARI			IN30021413530032	40000	Equity Shares
SANJU KABRA			1201910300854376	6000	Equity Shares
SANTOSH LAL JAIN			1201770100826288	24000	Equity Shares
SAROJ JAIN			1202890001156267	6000	Equity Shares
SATYA NARAYAN KHANDELWAL			1201700000200690	12000	Equity Shares
SEEMA JAIN			IN30429512087775	6000	Equity Shares
SEEMA JAIN			1201830000040018	240000	Equity Shares
SEEMA JAIN			IN30136410212074	294900	Equity Shares
SHALINI DHARMAWAT			IN30302869939036	2000	Equity Shares
SHANTI LAL SHARMA			1203440000823970	30000	Equity Shares
SHARMILA VASHISTH			1201210100409795	12000	Equity Shares
SHEELA R JAIN			IN30154936330142	6000	Equity Shares
SONAL BHAGWATILAL JAIN			IN30154938175839	12000	Equity Shares
SRG HOUSING FINANCE LIMITED			1201700000246043	150000	Equity Shares
SUMAN DAGLIA			IN30226911133470	6000	Equity Shares
SUMAN JAIN			1202890001217999	17250	Equity Shares
SUMAN PATHAK			1203440000824556	6000	Equity Shares
SUNAINA NAGAR			1208160006870495	4000	Equity Shares
SUNIL KUMAR VASHISTHA			1201210100120998	24000	Equity Shares
SUNIL SHARMA			IN30039419795890	2000	Equity Shares
SURESH CHAND TIWARI			1203440000824621	6000	Equity Shares
SURESH KUMAR JAIN			1201090004281118	24000	Equity Shares
TARLA ANANT DESAI			1202970000229872	6000	Equity Shares
UMESH VYAS			1201770101158790	2000	Equity Shares
VIMLA SHAH			1201830000040075	18000	Equity Shares
VINOD JAIN HUF			1201830000040056	100000	Equity Shares
VINOD JAIN HUF			IN30136410212621	156750	Equity Shares
VINOD KUMAR JAIN			IN30136410212195	501620	Equity Shares
VINOD KUMAR JAIN			1201830000040022	295080	Equity Shares
VINOD KUMAR JAIN			1201700000246759	42000	Equity Shares
VISHAL SINGH INDRA SINDAL			1202890001616089	2000	Equity Shares
VISHNU			1201210100773877	2000	Equity Shares
VIVEK KUMAR VASHISHTH			1201700000393042	36000	Equity Shares
VIVEK KUMAR VASHISTA HUF HUF			1301760000898265	24000	Equity Shares

S R G Securities Finance Limited
Statement showing count of shareholders between benpos 31/03/2020 and 31/03/2021

Current year count of shareholders	Previous year count of shareholders	Count of maching shareholders	Count of new shareholders	Count of ceased shareholders
140	140	127	13	13

VINOD
KUMAR
JAIN

Digitally signed by
VINOD KUMAR
JAIN
Date: 2021.09.08
11:58:46 +05'30'

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of **S R G SECURITIES FINANCE LTD.** ("the Company") as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on March 31, 2021. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
1. its status under the Act;
 2. maintenance of registers/records & making entries therein within the time prescribed therefore;
 3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within and/or/ beyond the prescribed time;
 4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
 5. closure of Register of Members;
 6. advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
 7. contracts/arrangements with related parties as specified in section 188 of the Act;
 8. issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;
 9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;
 10. declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;

Head Office:. 1055, Level 10, Hubtown Solaris, N.S.Phadke Marg, Andheri (East), Mumbai - 400069

Branch Office:. 104, Mahavir Building, 44/46, Kalbadevi Road, Mumbai - 400002

 **:. shivharijalancs@gmail.com,**  **:(022) 22075834, 22075835, 26836215, 9869035834**

11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. acceptance/ renewal/ repayment of deposits;
16. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;
18. alteration of the provisions of the Memorandum and/ or Articles of Association of the Company.

Place: Mumbai

Date:

UDIN:

For Shiv Hari Jalan & Co.

Company Secretaries

FRN: S2016MH382700

(Shiv Hari Jalan)

Proprietor

FCS No: 5703

C.P.NO: 4226