

SCRIP NAME: SRGSFL; SCRIP CODE 536710; ISIN NO: INE326P01019

Date:-24-04-2021

To, **Listing Department** BSE Ltd. Phiroze Jeejeebhoy Towers, Dalal Street. Mumbai- 400001

Subject: - Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir,

In terms of Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith Certificate duly signed by a Practicing Company Secretary for the half-year ended 31st March, 2021

This is to comply with the SEBI (LODR) Regulations, 2015

Thanking You,

With Regards,

For S R G Securities Finance Limited

Divya Kothari **Company Secretary**

M.No:- A57307

REGD OFF.: 322, S.M. Lodha Complex, Near Shastri Circle, Udaipur (Rajasthan)-313001 Phone No: 0294-2561882 Email: srgsecurities@gmail.com Website: www.srgfin.com

CIN: L67120RJ1995PLC009631

SHIV HARI JALAN B.COM., F.C.A., F.C.S. COMPANY SECRETARY

1055, Level 10, Hubtown Solaris, N.S. Phadke Marg, Opp. Telli Galli, Andheri (East), Mumbai-400069.Tel:22075834,Telefax:22075835,Mobile:9869035834,Emailid:shivharijalancs@gmail.com.

To,
The Board of Directors
S R G SECURITIES FINANCE LIMITED
322, S. M. Lodha Complex,
Nr Shastri Circle, Udaipur,
Rajasthan- 313001.

Certificate under Regulation 40 (9) of the SEBI (LODR) Regulations 2015 for the half year ended on 31st March, 2021:

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *M/s. S R G Securities Finance Limited (Company)* maintained by *Link Intime India Private Limited ((RTA)* pertaining to transfer of equity shares of the company for the period from 1st October, 2020 to 31st March, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (LODR) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on 31st March, 2021:

- A) All certificates have been issued within thirty days of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period from 1st October, 2020 to 31st March, 2021. (Nil transaction during the period)
- B) Any other information- Not applicable

Place: Mumbai Date: 17.04.2021

UDIN: F005703C000116605

SHIV HARI JALAN COMPANY SECRETARY

C.P.NO.: 4226 F.C.S.NO.: 5703

