

**SCRIP NAME: SRGSFL; SCRIP CODE 536710; ISIN NO: INE326P01019**

Date:-23.10.2019

To,  
Listing Department  
BSE Ltd.  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai- 400001

**Subject: - Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir,

In terms of Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith Certificate duly signed by a Practicing Company Secretary for the half-year ended 30<sup>th</sup> September, 2019.

This is to comply with the SEBI (LODR) Regulations, 2015

Thanking You,

With Regards,

For S R G Securities Finance Limited

  
Divya Kothari  
Company Secretary  
M.No:- A57307



**SHIV HARI JALAN  
B.COM., F.C.A., F.C.S.  
COMPANY SECRETARY**

1055, Level 10, Hubtown Solaris, N.S. Phadke Marg, Opp. Telli Galli, Andheri (East), Mumbai-400069. Tel:22075834, Telefax:22075835, Mobile:9869035834, Emailid:shivharijalancs@gmail.com.


To,  
The Board of Directors  
**S R G SECURITIES FINANCE LIMITED**  
322, S. M. Lodha Complex,  
Nr Shastri Circle, Udaipur,  
Rajasthan- 313001.

**Certificate under Regulation 40 (9) of the SEBI (LODR) Regulations 2015 for the half year ended on 30<sup>th</sup> September, 2019:**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *M/s. S R G Securities Finance Limited (Company)* maintained by *Sharex Dynamic (India) Pvt. Ltd (RTA)* pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (LODR) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on 30<sup>th</sup> September, 2019:

- A) All certificates have been issued within thirty days of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies during the period from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019. *(Nil transaction during the period)*
- B) Any other information- Not applicable

**Place: Mumbai  
Date: 21.10.2019  
UDIN: F005703A000137351**

  
**SHIV HARI JALAN  
COMPANY SECRETARY  
C.P.NO. : 4226  
F.C.S.NO.: 5703**

