



**SCRIP NAME: SRGSFL; SCRIP CODE 536710; ISIN NO: INE326P01019**

April 18, 2023

To,  
Listing Department  
BSE Ltd.  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai- 400001

Dear Sir/Madam,

**Subject: - Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

In terms of Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith Certificate duly signed by a Practicing Company Secretary for the year ended March 31, 2023 certifying that all share certificates have been issued within thirty days of the date of lodgment for sub-division and renewal of share certificates.

This is to comply with the SEBI (LODR) Regulations, 2015.

Thanking You,

With Regards,

**For S R G Securities Finance Limited**

**Vidhi Jain**  
**Company Secretary**  
**M. No:- A70367**

*Encl: a/a*

**REGD OFF.:** 322, S.M. Lodha Complex, Near Shastri Circle, Udaipur (Rajasthan)-313001

Phone No: 0294-2561882 Email : [srgsecurities@gmail.com](mailto:srgsecurities@gmail.com) Website: [www.srgfin.com](http://www.srgfin.com)

CIN: L67120RJ1995PLC009631

To,  
The Board of Directors  
**S R G SECURITIES FINANCE LTD.**  
322, S. M. Lodha Complex,  
Nr Shastri Circle, Udaipur,  
Rajasthan- 313001.

**Certificate under Regulation 40 (9) of the SEBI (LODR) Regulations 2015 for the year ended on 31<sup>st</sup> March, 2023:**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *M/s. S R G Securities Finance Ltd. ("Company")* maintained by *Link Intime India Private Limited ("RTA")* pertaining to transfer of equity shares of the company for the period from 01<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (LODR) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during the year ended on 31<sup>st</sup> March, 2023:

- A) All certificates have been issued within thirty days of the date of lodgement for transfer, transmission, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies during the period from 01<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023.  
*(Nil transaction during the period)*
- B) Any other information- Not applicable

**Place: Mumbai**  
**Date: 18.04.2023**  
**UDIN: F005703E000127715**

**For Shiv Hari Jalan & Co.**  
**Company Secretaries**  
**FRN: S2016MH382700**  
**SHIVHARI** Digitally signed by  
SHIVHARI JALAN  
**JALAN** Date: 2023.04.18  
12:36:51 +05'30'  
**(Shiv Hari Jalan)**  
**Proprietor**  
**FCS No: 5703**  
**C.P.NO: 4226**  
**PR-1576/2021**